



सत्यमेव जयते



IN-UK81224420291790X

INDIA NON JUDICIAL

Government of Uttarakhand

e-Stamp

Certificate No. : IN-UK81224420291790X
Certificate Issued Date : 21-Mar-2025 04:13 PM
Account Reference : NONACC (SV)/ uk1320204/ DOIWALA/ UK-DH
Unique Doc. Reference : SUBIN-UKUK132020469746648054843X
Purchased by : SRHU DEHRADUN
Description of Document : Article 5 Agreement or Memorandum of an agreement
Property Description : NA
Consideration Price (Rs.) : 0
 (Zero)
First Party : SRHU DEHRADUN
Second Party : NMHS
Stamp Duty Paid By : SRHU DEHRADUN
Stamp Duty Amount(Rs.) : 100
 (One Hundred only)



Please write or type below this line

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Appendix-B

Bond for Implementation of Project under National Mission on Himalayan Studies (NMHS) (To be furnished by the Grantee Organization)

KNOW ALL MEN THESE PRESENTS THAT We, signed for and on behalf of a firm registered by University Grants Commission under Section 2(f) of the UGC Act, 1956 as **Swami Rama Himalayan University** (having its office at **Swami Ram Nagar, Jolly Grant, Dehradun- 248016, Uttarakhand** (herein called the **SRHU** 'Obligors' which term shall unless excluded by or repugnant to this context be deemed to include its successors, permitted, assign and all persons entitled to and capable of disposing of the assets and properties of the Obligors) are held and firmly bound to the President of India (herein after called the Government' which term

Statutory Alert:

1. The authenticity of this Stamp certificate should be verified at 'www.shcilestamp.com' or using e-Stamp Mobile App of Stock Holding.
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shall unless exclude by or repugnant to the context be deemed to include his successors, and assign) in the sum of **Rs. 1,01,00,000/-** (in words **Rs. One Crore One Lakh only**) will and truly to be paid to the Government on demand and without as demure for which payment we firmly bind ourselves by these presents.

1. SIGNED this on **24th** day of **March** month in the year Two Thousand **Twenty-Five**.
2. WHERE AS on the Obligor's request, the Competent Authority of **National Mission on Himalayan Studies, G.B. Pant National Institute of Himalayan Environment (NMHS-NIHE)** has as per its Sanction Letter No. **NMHS 2024-25/SC-XIII/MG/SL-12 dated 20/03/2025** (herein after referred to the '**Letter of Sanction**' which forms an integral part of these present and a copy whereof is annexed hereto and marked with the **letter 'A'**) agreed to make in favor of the Obligors for the purpose of a grant of Rs. 1,01,00,000/- (in words Rs. One Crore One Lakh only), out of which Rs. 25,25,000/- (in words Rs. Twenty Five Lakh Twenty Five Thousand only) will be paid as the first installment to the Obligors (the receipt of the sum the Obligors hereby admit and acknowledge) on condition of Obligors executing a Bond in the terms and manners contained hereinafter which the Obligors have agreed to do.
3. Now the condition of the above written obligation is such that if the Obligors duly fulfill and comply with all terms and conditions mentioned in the **Letter of Sanction** and **Annexure-I**, then the above-written bond or obligation shall be void and of no effect, but otherwise it shall remain in full force, effect and virtue.
4. AND these presents further witness as under:
 - i. The decision of the **NMHS-NIHE** on the question whether there has been a breach or violation of any of the terms or conditions mentioned in the Letter of Sanction shall be final and binding on the Obligors.
 - ii. The Grantee/ Institute has agreed to bear the stamp duty, if any, chargeable on these presents.
5. In witness whereof these presents have been executed on behalf of the Obligors pursuant to Letter of Sanction No. **NMHS 2024-25/SC-XIII/MG/SL-12 dated 20/03/2025** accepted by the competent authority, on the date and year herein above written.

Obligors in the presence of
(Signature with name & address)

Grantor Name

Prof. H.P. Uniyal
PI & Advisor

Commander Challa Venkateswar (Retd.)
Registrar

Swami Rama Himalayan University
Swami Ram Nagar, Jolly Grant,
Dehradun- 248016 Uttarakhand

The Nodal Officer, NMHS
National Mission on Himalayan
Studies (NMHS)
G.B. Pant National Institute of
Himalayan Environment (NIHE),
Kosi-Katarmal, Almora 263 643,
Uttarakhand
An Autonomous Institute of
Ministry of Environment, Forest &
Climate Change, Govt. of India

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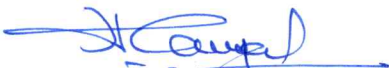
For Office Use Only

(Accepted for and on behalf of the President of India)

Annexure-I

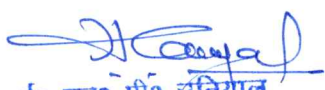
Terms & Conditions for NMHS Project Grant

- The approved grant should be exclusively spent on the project for which it has been sanctioned within the stipulated time period. In the project wholly sponsored under the NMHS, the grantee organization is not permitted to seek or utilize funds from any other organization (Government, semi-government, autonomous or private) for the same research project. However, industry, public and private sector organizations can co-sponsor a project on mutually agreeable terms, which can be formalized through an agreed MoU with the NMHS-PMU with proper approval of Competent Authority (CA), NMHS.
- Any unspent amount out of the grant given by the NMHS for a particular project would be required to be surrendered to the NMHS-PMU, GBP NIHE and the carry forward of the unutilized amount from one to the next for utilization for the same project would require the specific approval of the CA, NMHS.
- A Project will normally be sanctioned for a maximum period of three years. The grant will be payable in maximum two installments each year including the first year on the submission of Utilization Certificates, Expenditure Statement and Progress Reports. The cost of permanent equipment may be released as a one-time allocation. In the case of collaborative research carried out by a network of institutions, the Coordinating Institution would be responsible for the submission of the Utilization Certificate and Expenditure Statement and Progress Reports covering all the partners in prescribed format to be provided on NMHS website (nmhs.org). The authorities of the institution(s) where research activities are to be carried out would receive the grants and be responsible for their disbursement, administration and maintenance of accounts. The Principal Investigator will incur expenditure within the ambit of the sanctioned amounts under the heads, and in normal circumstances, inter alia, adjustment among budget heads is not permissible. In case of an unavoidable situation, the PI must seek prior approval of the CA, NMHS through the Head of implementing agency.
- An extension of up to a maximum period of two years may be granted to Research Projects in deserving cases. Any request for an extension of the Project should be sent by the PI to the NMHS-PMU at least three months before the closing date. The PI should not make any expenditure after the closing date without specific approval of the CA, NMHS or till the extension is conveyed to him. The extension may or may not involve a change in overall scope of the Project and, if granted, adequate additional funds would be sanctioned by the NMHS towards the prescribed extension period. In the case of an extension of tenure of Research Projects, the NMHS- PMU through STAG would provide complete justification for the same.


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- The implementing agency and the concerned PI have the responsibility for completion of the Project and to achieve the expected outcomes and deliverables of the NMHS Project as specified in the sanction letter and the project proposal.
- In case, the PI leaves the institute on account of superannuation/unforeseen circumstances, the responsibility for the completion of the Project may be entrusted, at no extra cost, to another equally qualified investigator by the Head of the Implementing agency/ Institution with the prior approval of the CA, NMHS. In case the PI is shifting to another institution due to a new appointment/transfer/long-term deputation, the project could be transferred to that agency with mutual consent of both the agencies/institutions and with the prior approval of the CA, NMHS.
- Project funds will be granted to credible Institutions/Agencies and not directly to the Project proponent (PI), i.e. grants will be made available to the Institutions/Agencies with whom the PI is affiliated.
- The Grantee agency will make a contribution to the project funds in cash or kind to the level mentioned in the sanction letter.
- Those Implementing Agencies/Institutions/Individual PIs which/who do not render accounts/submit physical progress reports or against the released grants will be blacklisted by the PMU-NMHS and MoEF&CC after ensuring an adequate dialogue, both in speech and writing, by the NMHS, GBPNIHE with the authorities of the concerned defaulting Agency/Institution.
- For permanent and semi-permanent assets acquired solely or mainly out of the grant, including books and furniture, an audited record in the form of a Register in the prescribed pro forma shall be maintained by the grantee. The term "Assets" here means (a) immovable property and (b) movable property of a capital nature, where the value exceeds Rs 50,000/-. The grant should not be utilized for construction of any buildings or purchase of vehicles. Full facilities by way of accommodation and infrastructure required for the project will be provided by the grantee organization. Grant for construction of buildings cannot be considered under the Scheme.
- The grantee organization will furnish three hard copies and one softcopy of yearly progress reports of the work done on the project as per pro-forma given NMHS website (nmhs.org) along with supporting data and maps for dissemination through Database Management Centre for Himalayan Studies (DMCHS) as per data sharing policy of GOI. The implementing agency/PI is required to make provide all details and a presentation on the progress of work carried out in the project to the STAG at least once, in a given financial year.
- **The STAG will undertake Monitoring, learning and Evaluation (MLE) missions at regular interval.** Each project will be assessed at least twice during its life, and the Grantees are expected to cooperate with the MLE Team in providing access to the project sites and other information as requested. In addition, the PMU will arrange a mid-term evaluation of project implementation. The STAG can also depute empanelled Expert Peer Reviewers/scientists/ specialists/finance persons of the Ministry to visit the grantee/research organization periodically


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for reviewing the progress of the work and for suggesting such measures as to ensure early realization of the objectives of the project. Full facilities are to be provided by the grantee/research organization to the visiting scientists/specialists.

- At the conclusion of the project, the Government of India will be free to sell or otherwise dispose of assets, which are the property of the Government of India. The grantee/research organization shall render to the Government of India necessary facilities for arranging the sale of these assets. The Government of India has the discretion to gift the assets to the grantee/research organization, if the Government of India considers it appropriate.
- All equipment and stores purchased out of the grant would remain with the agency/institution concerned unless otherwise specified in the sanction. However, the grantee/research organization will submit the list of assets acquired under the project as per the prescribed pro-forma (available on nmhs.org). All the assets including equipment and prototypes acquired with the grant will be the property of the Government of India and without the prior sanction of the PMU-NMHS and MoEF&CC should not be disposed of or encumbered or utilized for any purposes other than those for which the grant has been sanctioned.
- The PI shall submit three hard copies and one soft copy of progress reports along with all supporting data/ digital maps for uploading on to the NMHS website of the progress report of the project at the end of each year to the NMHS-PMU for an Annual Review of the project.
- The grantee organization is required to provide a list of assets referred to in above to the Ministry/host institution at the end of each financial year and at the time of seeking further installments of the grant.
- The grantee organization would furnish to the NMHS-PMU, GBP NIHE a Statement of Expenditure and Utilization Certificate in prescribed formats within sixty days from the close of each financial year, i.e. by 31st May, for the grant released during the previous financial year. An audited statement of account pertaining to the grant may also be furnished within nine months of the close of the financial year.
- The following guidelines may be adopted while accounting the expenditure on HRA and Medical Allowance:
 - a) The staff engaged in the research project are not eligible for HRA if they have been provided quarter/hostel facilities.
 - b) The staff engaged in the project are not eligible for HRA if the organization's rules do not provide HRA for its own staff.
 - c) The research staff who are not provided hostel facilities are eligible for HRA as applicable to scientific staff/teaching staff as per the instructions/orders issued by the Ministry/GOI from time to time.
 - d) The supporting staff such as technical assistant, laboratory assistant, etc. are eligible for HRA as per the rates applicable to non-


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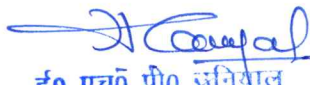


teaching/scientific staff of the organization concerned, if they are not provided residential facilities.

- e) For the purpose of HRA, fellowship/lump sum amount for Research Associate, Research Fellows/ Project Fellows, Field/Technical Assistant, Laboratory Assistant, and Field Attendant will be taken as the basic pay.
 - f) Maximum HRA payable will be limited to the 6th Pay Commission's recommendations, as accepted by the Government of India.
- The Comptroller and Auditor General of India at his discretion shall have the right of access to the books and accounts of the grantee/research organization for the grants received from the Government of India. All accounts in respect of the project will also be subject to audit by the agencies auditors as mentioned in sanction letter.
 - On termination of the project, duly audited accounts shall be submitted and the unspent balance, if any, shall be refunded to the NMHS-PMU, GBP NIHE within six calendar months of completion of the project. Full and final payment will be made after the acceptance of all the financial certificates, audit reports and final technical reports of the project. Ten (10) per cent of the sanctioned amount will be released only on completion of all closure formalities.
 - The grantee organization should maintain separate audited accounts for the project with a separate bank account. The interest earned should be reported to the NMHS-PMU. The interest thus accrued will be treated as a credit to the grantee/research organization to be adjusted towards further installments of the grant.
 - Sale proceeds, if any, as a result of the development of the project arising directly from the funds granted by the NMHS shall be remitted to the Government of India through NMHS-PMU. The Government of India may, at its discretion, allow a portion of such receipts to be retained by the grantee organization.
 - The know-how generated from the project will be the property of the Government of India and receipts by way of the sale of know-how, royalty, etc. shall accrue to the Government of India. The Government of India may, at its discretion, allow a portion or whole of such receipts to be retained by the grantee organization. The sale of know-how, collection and settling the rate of royalty, etc. would be decided by the MoEF&CC in consultation with the National Research Development Corporation (a public sector undertaking) or any other government body which has the responsibility for licensing the transfer of know-how from research and development projects.

IPR Issues

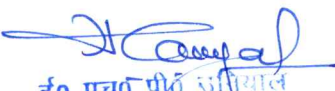
- The NMHS and MoEF&CC shall have sole right to patent the research fully funded by the Ministry. In case the MoEF&CC has not funded the project fully, an undertaking should be taken from the project proponent that no IPR/Royalty would be charged in future public projects supported by the MoEF&CC.


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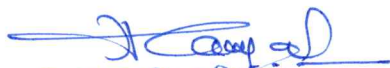
- Investigators who wish to publish papers based on the research work done under the Project or to present such papers at conferences need to duly inform the NMHS-PMU and should acknowledge the financial support received from the NMHS. Three hard copies and one soft copy of the publications/papers shall be sent to the NMHS-PMU and uploading on to the website.
- The grantee organization is not permitted to entrust the implementation of the project work, for which grant is being sanctioned, to any other organization and diversion of the grant, or any portion of it, as assistance to any other organization except its implementing partners as mentioned in the proposal. In case the grantee organization is not in a position to execute or complete the work, it is required to refund forthwith to the Government of India in the NMHS-PMU, the entire amount of grant received by it. In exceptional cases, this condition may be relaxed by the Government.
- The staff, if any, sanctioned for the project should be recruited as soon as possible and equipment, if any sanctioned, should be ordered within ninety days.
- The staff that may be employed for the project by the grantee/research organization are not to be treated as employees of the NMHS, Government of India or GBPNIHE and the deployment of such staff at the time of completion or termination of the project will not be the concern/responsibility of the NMHS-PMU, GBPNIHE or MoEF&CC Government of India. The staff appointed for the research project will be subject to the administrative control and service rules as applicable at the grantee organization where they are appointed.
- The MoEF&CC guidelines may be adopted while appointing the project staff (**co-terminus with the project**) as given in **Appendix-A**. The selection and appointment of Support Staff for the project may be made by the Principal/Lead Investigator following the procedures of the concerned grantee organization for periods not exceeding the sanctioned duration of the project. The qualification and experience should be as per the grantee organization norms.

A Committee will be constituted for the selection of Junior Research Fellows JRF/ Senior Research Fellows SRF in Ministry's project. This Committee would be approved by the Head of the grantee organization where the Project is being operated. This committee would have the PI of the project as a member and there would be three expert members. The minimum eligibility criteria for applicants would be the same as that for the CSIR-UGC examination. Generally, preference would be given to the candidates who have qualified the NET examination. In case the Selection Committee selects a candidate who has not qualified the NET examination, it has to provide adequate justification for the same in the minutes of the meeting. Any non-NET candidate selected for the Ministry's projects would be designated as Junior/Senior Project Fellow and would be given a fellowship as mentioned under the section on pays and allowances of Research Staff. The Junior/Senior Project Fellow would be allowed to pursue higher University Degrees. Details of the emoluments for research fellows/associates is given in **Appendix-A**.


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- The CA, NMHS reserves the right to terminate the grant at any stage if convinced that the grant has not been properly utilized or appropriate progress is not being made. Upon such termination, full audited accounts will have to be submitted and a refund of all unspent balances will have to be made promptly to the NMHS-PMU.
- The project will become operative with effect from the date mentioned on sanction letter. The date of receipt of the grant will be intimated by the grantee/research organization to the NMHS-PMU.
- If the investigator leaves the Institution/Agency where the project is based, he should submit 10 copies of complete and detailed report of the work done with all supporting data and maps on the project till the date of his release for dissemination through Database Management Centre for Himalayan Studies (DMCHS) as per data sharing policy of GOI. Prior to leaving, the Investigator and grantee organization shall inform the NMHS-PMU and get an approval to hand over the project to another Investigator from grantee organization who shall be responsible for the completion of the project and submission of the final report.
- The duration of the project includes the period for the submission of the Final Technical Report (FTR). No additional time will be provided by the NMHS-PMU for the submission of FTR. The submission of the FTR, complete in all respects, within the project duration is essential. The PI will submit Final Technical Report to the NMHS-PMU in the prescribed pro forma given in NMHS website. The following measures are proposed in case the grantee organization fails to submit the FTR:
 - (i) A minimum of 10% of the remaining project outlay would be released only after the submission of soft copy & hard copy of the FTR, complete in all respects with all supporting data and maps.
 - (ii) The PI/ grantee organization and the institution which do not submit the FTR even six months after the end of the scheduled time period would not be funded by the Ministry/NMHS-PMU, GBPNIHE in the future.
 - (iii) The Head of the grantee organization (Vice-Chancellor/ Registrar/ Director) where the PI is located should be intimated regarding the non-submission of the FTR and the decision of Government to suspend future funding to the grantee organization/ Agency.
 - (iv) A list of such PIs and grantee organization would be circulated among all government agencies that fund studies.
- The following procedure will be adopted for acceptance of the FTR of NMHS projects:
 - (i) The Principal Investigator/implementing agency will submit draft FTR to the PMU-NMHS, GBPNIHE which will be sent to the empanelled peer reviewers and also make a presentation before the STAG.
 - (ii) Based on the recommendation of the NMHS-PMU empanelled experts and STAG, the FTR will be processed in the NMHS-PMU for final acceptance by the Steering Committee, NMHS for the final settlement of accounts and closure of the project.


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- The grantee/research organization will furnish 10 hard copies and a softcopy of a detailed report to the NMHS-PMU, GBPNIHE with a copy to Director, CS-1 (Mountain) Division, MoEF&CC on the outcome of the project along with supporting data and maps, as per the prescribed pro-forma. The grantee organization/PI will take all necessary steps to disseminate the outputs and outcomes of the project through print and electronic media and submit all such material to NMHS-PMU for dissemination through Database Management Centre for Himalayan Studies (DMCHS) as per data sharing policy of GOI.
- In case of a violation of any of these conditions of the grant or in case of closure or dissolution of the organization, the government may take possession of all the assets of the organization acquired out of Government grants and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion. In the event, after due enquiry, if the CA, NMHS concludes that the progress of a research project is highly unsatisfactory and cannot be improved, it may terminate the project. Upon such termination, full audited accounts and refund of all unspent balances will have to be made promptly to the NMHS-PMU, GBPNIHE under intimation to Director, CS-1 (Mountain) Division to MoEF&CC.
- All grantee organizations seeking financial assistance for NMHS projects shall execute a **Bond** in the prescribed format (**Appendix-B**).
- All correspondence is to be addressed to the Nodal Officer, NMHS-PMU, GBPNIHE (nmhspmu2016@gmail.com).


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 स्वामी राम नगर
 जौली ग्रांट, देहरादून





No.: SRHU/ NMHS2024-25/ 1204

Date: 25/03/2025

To,

Er. MS Lodhi
Scientist-'F' & Nodal Officer
NMHS-PMU, GBP NIHE HQs
Kosi-Katarmal, Almora-263643,
Uttarakhand, India.

Subject: Acceptance of the project entitled "Affordable Climate-resilient Water Supply Infrastructure prototype for Indian Himalayan Region" for funding under Medium Grant (MG) of NMHS 2024-25-reg.

Dear Sir,

Apropos to your letter No. NMHS2024-25/SC-XIII/MG/SL-12, dated 20/03/2025 regarding the subject cited above. Swami Rama Himalayan University (SRHU) hereby accepts above cited NMHS - Sanction Letter.

Signed copy of Terms and Condition as per **Annexure-I** and Bond to NMHS-PMU in the prescribed format as per **Appendix-B** are attached. Kindly send us these documents after your signature for our records.

Separate saving bank account has been opened, details of which is also **attached**. Kindly map this account into PMFS and share detail to us.

You are kindly requested to release 1st installment of grant (25%), so that the project can be started.

Yours Sincerely,

Prof. H.P Uniyal
Advisor & PI
SRHU

Attach: As above

Copy to:

1. Dr. Susan George K. Scientist - F & CS-1 (Mountain) Division, Agni Wing, 5th Floor, Indira Paryavaran Bhawan, Ministry of Environment, Forest & Climate Change (MoEF&CC), Govt. of India, Jorbagh Road, New Delhi 110003
2. The Director, G.B. Pant National Institute of Himalayan Environment (NIHE), Kosi-Katarmal, Almora, Uttarakhand-263643
3. The Finance Officer, G.B. Pant National Institute of Himalayan Environment (NIHE), Kosi-Katarmal, Almora, Uttarakhand-263643
4. The Principal Director of Audit, Scientific Department, AGCR Building, IP Estate, New Delhi-110002

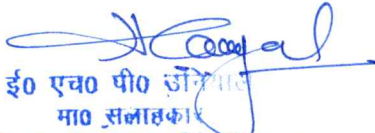
Commander Challa Venkateswar (Retd.)
Registrar
SRHU



Details of Bank Account

Name of Bank : State Bank of India
Branch : HIHT, Jolly Grant
Dehradun, Uttarakhand 248140
Address : Swami Ram Nagar
Jolly Grant, Doiwala
Dehradun-248140
Name of Customer : Swami Rama Himalayan University NMHS
Account No. : 4393917017-1
Type of Account : Saving (Regular SB Chq-Entities)
IFSC Code : SBIN0010580

Letter from Bank is attached


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मा० जलहाकर
स्वामी राम हिमालयन विश्वविद्यालय
स्वामी राम नगर
जौली ग्रान्ट, देहरादून



STATE BANK OF INDIA

HIHT, JOLY GRANT, DEHRADUN
HIHT, JOLY GRANT
DEHRADUN
UTTRAKHAND

Ref. No

Date : 24/03/2025

Account No. : 4393917017-1

Type of Account : REGULAR SB CHQ-ENTITIES

Name of Customer : SWAMI RAMA HIMALAYAN UNIVERSITY NMHS

Address of customer : SWAMIRAMNAGAR
JOLLY GRANT
DOIWALA
Dehradun - 248140

This is to certify that the balance standing to the credit of the above noted account as on 24/03/2025 is (INR) 0.00 [Rupees Zero only].

24/03/25

Branch Manager

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मा० सत्यनारायण
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